LiDAR Project Life Cycles at Government Agencies: a Federal Perspective on 3DEP in New York



Science for a changing world

Craig A. Neidig USGS National Geospatial Program National Map Liaison (NY-PA-VA-WV)

NYGeoCON 2017 October 18, 2017

+ National Geospatial Program (NGP)

What we do ...

- Primary civilian geospatial data-production agency for the Nation
 - https://nationalmap.gov/index.html
- Provide coordination and support to other Federal, state, local, and tribal government geospatial activities
- Support mission-critical activities and applications, focusing on:
 - Elevation (3D Elevation Program 3DEP)
 - Water (National Hydrographic Dataset NHD, NHDPlus)
 - Hazards (natural, manmade) Emergency Response
 - USGS and DOI science
 - "Communities of Use" water, natural resources, hazards
- Reston (HQ), Rolla (NGTOC), Denver (NGTOC), science centers, and the National Map liaison network
- Liaison housed at USGS VA-WV Water Science Center (Charleston, WV)
- Associate Liaison (Doug Freehafer) at NY WSC (Troy, NY)







The National Map Liaisons and Associate Liaisons State Points of Contact







+ NEEA Benefits Study (2011) – Top Business Uses

		Annual Benefits					
Rank	Business Use	Conservative	Potential				
1	Flood Risk Management	\$295M	\$502M				
2	Infrastructure and Construction Management	\$206M	\$942M				
3	Natural Resources Conservation	\$159M	\$335M				
4	Agriculture and Precision Farming	\$122M	\$2,011M				
5	Water Supply and Quality	\$85M	\$156M				
6	Wildfire Management, Planning and Response	\$76M	\$159M				
7	Geologic Resource Assessment and Hazard Mitigation	\$52M	\$1,067M				
8	Forest Resources Management	\$44M	\$62M				
9	River and Stream Resource Management	\$38M	\$87M				
10	Aviation Navigation and Safety	\$35M	\$56M				
:							
20	Land Navigation and Safety	\$0.2M	\$7,125M				
	Total for all Business Uses (1 – 27)	\$1.2B	\$13B				

NEEA2 Update planned for FY18





Flood Risk Management





Infrastructure



Geologic Hazards



Aviation Safety

+ 3D Elevation Program (3DEP)

A new national elevation program

- Published plan for action based on extensive stakeholder input (2013)
- Proposed 8-year collection cycle over CONUS
- Issued the first Broad Agency Announcement in 2014, in partnership with FEMA and NRCS
- Geospatial Products and Services Contracts (GPSC3) being established to address increased data volume
- Revised the base lidar specification to include 3DEP quality levels (QL1 – QL5)
- Investigating new collection technologies (Geiger mode, Single-Photon, green laser, etc.)
- New products and services made available through *The National Map*







5 meter Alaska DEMs Alaska Ifsar ORIs





Alaska Ifsar DSMs

1 meter DEMs



Lidar Point Cloud

+ 3DEP is a Partnership Program

- Goal of National lidar coverage, including with IfSAR in Alaska, every 8 years
- Address the mission-critical requirements of 34 Federal agencies, 50 states, and other organizations documented in the National Enhanced Elevation Assessment (NEEA 2011)
- Identified 602 Mission critical activities that need significantly better data than are currently available
- Est. Return on investment 5:1, designed to conservatively provide new benefits of \$690 million/year with the potential to generate \$13 billion/year in new benefits through applications that span the economy
- Leverage the capability and capacity of private industry mapping firms
- Achieve a 25% cost efficiency gain by collecting data in larger projects
- Completely refresh national elevation data holdings with new lidar and IfSAR elevation data products and services, on a cyclical 8-year basis

Your Source for Topographic Information



+ U.S. Interagency Elevation Inventory

Where has lidar data been collected?

- Interagency Collaboration
 - USGS leads the topographic component
 - NOAA leads the bathymetric component
 - CoNED joint USGS/NOAA topo-bathy
 - FEMA, NRCS, USACE, USFS, NPS
 - States / regional partners
- Critical to assessing progress toward 3DEP goals
- Updated twice annually by the USGS National Map liaison network and NGTOC staff

The National Map



+ U.S. Interagency Elevation Inventory





https://coast.noaa.gov/inventory/

+ Interagency Elevation Inventory (NOAA)

United States Interagency Elevation Inventory \equiv HIDE LAYERS □ HOW-TO **⑦ HELP** Montréal BASEMAP . 66 NEW YORK ZOOM TO COUNTY/ISLAND BATHYMETRIC 0 TOPOGRAPHIC 1 CLEAR CANADA 2006 Ontario County Lidar DATA SET NAME 2006 Ontario County Lidar DATA ACCESS Ontario County GIS, 585-396-4455 METADATA ACCESS Not Provided RELATED LINKS 2006 COLLECTION DATE OUALITY LEVEL 4 MEETS 3DEP DOWNLOAD MORE INFO No FAO CONTACT REASON DATA DOES/DOES NOT QL3 or lower quality lidar - -DATA INVENTORY CURRENT AS OF JULY 2017 Topographic Lidar Topobathy Shoreline Lidar IfSAR Data 0 and Island Sound Bathymetric Lidar NOAA Hydrographic Surveys USACE Hydrographic Surveys Trackline Bathymetry MAINTAINED BY **≥USGS** USDA **Bar**i FEMA :4.622.324.43 Identify Lat/Long: 42.88,-77.33 Contact Us | Privacy Policy | Link Disclaimer | USA.



https://coast.noaa.gov/inventory

+ Data Requirements - Seasketch





+ Seasketch Tool – Mapping Requirements

U.S. Federal Mapping Coordination

A Collaboration Site for Fed'l and Partner Mapping Data Acquisition



Data Layers My Plans Participate Legend & Ordering Data Lavers Basemap Search layers by name or keyword Mapping Priorities: Proposed Image: Second State of Seco Topobathymetric Lidar Areas of Interest Image: Acoustic/Sonar (bathy, etc.) Areas of Interest Digital Imagery (in conjunction with Topo/topobathy lidar?) Mapping Projects: Planned (Funded) and Ongoing 🕨 🖉 🚞 Topographic Lidar 🖉 🚞 Topobathymetric Lidar Image: Construction of the second Digital Imagery Image: Description of the second s Image: NOAA FY16-17 Fleet Allocation Plans Alaska and Arctic Projects (All Stages) Alaska/Arctic Existing Data: Inventories, Collections, etc. (not comprehensive) Select layers; more due diligence needed to assess overlaps 8-digit HU Image: Second Strain Global Multi-Resolution Topography 3.2 (GMRT, Seafloor) Lamont- Doherty Earth Observatory Image: NOAA NGS Continually Updated Shoreline Project (CUSP) Image: Image: Image: Provide a comparison of the second Image: NOAA Raster Nautical Charts



Your Source for Topographic Information



RSD Oblique

Sian In

Summary of FY 2017 3DEP Partnerships BAA + Additional Federal Investments

- BAA supported 33 projects in 24 states
- For BAA projects -USGS, FEMA HQ and NRCS NCGE committed \$10M, regional federal offices, state and local agencies contributed \$22M for total BAA project value of \$32M
- BAA project awards ranged from \$14K to \$1MK, average award was \$315K
- Average BAA award covered 31% of the cost of the project
- Average project size 4348 square miles





Results available at http://nationalmap.gov/3dep

+ 3DEP Multi-Year Planning (FY18 – FY20) ¹³ Preliminary Inputs

- Based on input from 3DEP WG
 Federal agencies
- Assumes a level budget
- Identifies projects with a minimum/ partial planned funding
- Will be adjusted based on the availability of funding
- Annual adjustment to reflect updated priorities













+ FEMA Region 2 Planning (FY17 – FY19)



USGS *The National Map*

+ NY USDA-NRCS

- NRCS state office in Syracuse, 3 regional offices, 43 field offices
- provide technical expertise and conservation planning to forest landowners, ranchers and farmers
- NRCS benefits of using lidar:
 - Conservation Planning
 - Improves spatial accuracy (and credibility) of landowner conservation plan maps
 - shows precise elevation changes for slope analysis;
 - more accurate drainage erosion potential determinations
 - Engineering project work: preliminary investigations with LIDAR aids in better planning and management of field survey crews
 - NRCS Soil Survey (SURGO) Updates:
 - Lidar increases efficiency and saves time in evaluation of detailed landscape analysis that aids in identifying and predicting locations of specific soil characteristics, allowing the Soil Scientist more field time dedicated to focusing on "challenge areas".
- NRCS Cost benefit analysis of 3DEP:
 - NRCS dollars only (no partnership):
 - ca. 1,500 sq. mi. averaging \$0.57/acre (total \$547,000)
 - NRCS dollars leveraged with other Federal, State and Local agency dollars
 - ca. 6,500 sq. mi. averaging **\$0.08/acre** (total \$332, 800)
 - = 7x cost savings ! value of leveraging partners !



+ Acknowledgements

Doug Freehafer - USGS NY WSC

- Alan Springett, Robert Schaefer, Brian Shumon, Juan Arevalo, Curtis Smith - FEMA Region 2
- Cathy Crotty USDA-NRCS
- Tim Ruhren, Jeff Langella NYS-ITS GIS Program
- Tim Daly NYS-DEC
- Andrew Kozlowski, NY State Museum
- Dan O'Brien, NYSES-OEM

Image showing location of the Fairport-Lyons channel and inter-drumlin outwash channels revealed by lidar in southern Wayne County, New York, courtesy Doug Freehafer, USGS





Craig A. Neidig cneidig@usgs.gov https://nationalmap.gov/

Thank you !



LIDAR Project Life Cycles in Government Agencies

Jeff Langella – NYS GIS Program Office

October 22, 2017

Coordination of State Priorities with Federal and Local Priorities







Partnership funding used through NYS Contract





Project Management - Planning















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Be Mindful of Bordering Projects' Age





Avoid the Gap, Overlap







	Year	Quality Level	Square Miles	Total Size (GB)
Hudson Hoosic	2012	3	3370	141.2
Long Island	2014	2	1750	271.1
Madison Otsego	2015	2	1844	1735



LAS Size DEM Size Swath Size



Point Cloud & DEM Review





Expected Tile Count:	1001					
	1001					
Review Process / Step	Reviewer	Date Start	Date Finish	Accepted	Location	Filename
Inventory Tiled LAS Delivery (tile count, size ranges)	JL	8/29/2017	8/29/2017	JL	T:\GpoDot\lidar\Southwest_2017\las	SouthWest_EastBlock_082817.lasd
Point File Info (Improved)	SO	8/29/2017	8/29/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\pfi	Southwest_EastBlock_PFlimp_082917.shp
Point File Info (Summarize by Class Code)	SO	8/29/2017	8/29/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\pfi	Southwest_EastBlock_PFIsum_082917.shp
Extent	SO	8/29/2017	8/29/2017			
LAS Dataset	SO	8/28/2017	8/29/2017		T:\GpoDot\lidar\Southwest_2017\las	SouthWest_EastBlock_082817.lasd
File Format 1.4	JL	8/28/2017	8/29/2017	Yes	T:\GpoDot\lidar\Southwest_2017\review\East_block\las_info	Southwest17_LASINFO.xlsx
General Classifications						
(Proper classes included, extents of classes are sensible)	JL	8/29/2017	8/29/2017	NO	T:\GpoDot\lidar\Southwest_2017\review\East_block\classification	Southwest17_LASINFO.xlsx SW_EastBlock_ErrPoly.shp
Intensity	SO	8/29/2017	8/30/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\intensity	SW_EastBlock_1ft_082917
Horizontal Check GPO - Digitized Roads	SO	8/31/2017	8/31/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\horizontal	SW_EastBlock_HorizCheck_RoadsDigi.shp
Horizontal Check GPO - Check Points						
Horizontal Check DOT - DOT Projects						
Vertical Check GPO - Check Points	JL	8/30/2017	8/30/2017	Yes	T:\GpoDot\lidar\Southwest_2017\review\East_block\vertical	AXIS_Checkpoints_East_Block_Subset_08302017.shp RMSE_AXIS_Checkpoints_East_083017.xlsx
Vertical Check DOT - DOT Projects						
						DOT_Truck_Data_East_Block_Subset_08302017.shp
Vertical Check DOT - Truck Data	JL	8/30/2017	8/30/2017	Yes	T:\GpoDot\lidar\Southwest_2017\review\East_block\vertical	RMSE_DOT_Truck_Data_East_08032017.xlsx
Classification - Bridges	SO	8/31/2017	9/15/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\classification	SW_EastBlock_ErrPoly.shp
Classification - water	JL	9/8/2017				
Classification - Bare Earth	SO	9/19/2017	9/21/2017			
Classification - Class 10						
Hydrobreakline Review						
Bare Earth Slope Creation	SO	8/29/2017	8/29/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\bare_earth_slope	SW_EastBlock_BE_1mSLOPE.tif
High Noise/Low Noise	SO	8/30/2017	8/30/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\classification\Noise	SW_EastBlock_Noise2m_092117
Point Density - Overall						
Point Density - Bare Earth	SO	9/19/2017	9/19/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\point_density	SW_EastBlk_PD_sample.shp
Overlapping Swath review						
Point Source ID	SO	8/30/2017	8/31/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\classification	SW_EastBlock_ErrPoly.shp
Void Creation	SO	8/30/2017	8/30/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\void	SW_EastBlock_Void7pt84_083017.tif
Void Analysis	Raj	9/11/2017	9/14/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\void	SW_EastBlock_Void_reviw.mxd
Slope Analysis					19 June 19 19 19 19 19 19 19 19 19 19 19 19 19	
Spot check Profiles						
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LiDAR Metadata	6					
Water Raster DEM (5m)	SO	8/29/2017	8/29/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\water_slope	SW_EastBlock_Water_5m_082917.tif
Water Slope Raster	SO	8/30/2017	8/30/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\water_slope	SW_EastBlock_Water_5mSLOPE_083017.tif
Water Slope Polygons	SO	8/30/2017	8/30/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\water_slope	sw_eastblock_water_polysAGG.shp



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Expected Tile Count:	1001						
Review Process / Step	Reviewer	Date Start	Date Finish	Accepted	Location		Filename
Inventory Tiled LAS Delivery (tile count, size ranges)	JL	8/29/2017	8/29/2017	JL	T:\GpoDot\lidar	\Southwest_2017\las	SouthWest_EastBlock_082817.lasd
Point File Info (Improved)	SO	8/29/2017	8/29/2017		T:\GpoDot\lidar	Southwast 2017\roviou/East black\afi	Southwast EastBlack DElima 092017 cha
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LAS Dataset	SO	8/28/2017	8/29/2017		T:\GpoDot\lida		
File Format 1.4	JL	8/28/2017	8/29/2017	Yes	T:\GpoDot\lida	- LAS Swath	
General Classifications							
(Proper classes included, extents of classes are sensible)	JL	8/29/2017	8/29/2017	NO	T:\GpoDot\lida	- Classified LAS	
Intensity	SO	8/29/2017	8/30/2017		T:\GpoDot\lida		
Horizontal Check GPO - Digitized Roads	SO	8/31/2017	8/31/2017		T:\GpoDot\lida	- Hydro Breaklines	
Horizontal Check GPO - Check Points							
Horizontal Check DOT - DOT Projects						- Bare Earth DEM	
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Classification - Bridges	SO	8/31/2017	9/15/2017		T:\GpoDot\lida		
Classification - water	JL	9/8/2017					
Classification - Bare Earth	SO	9/19/2017	9/21/2017				
Classification - Class 10							
Hydrobreakline Review							
Bare Earth Slope Creation	SO	8/29/2017	8/29/2017		T:\GpoDot\lida		
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Classification - Class 10								
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Classification - Bare Earth	SO	9/19/2017	9/21/2017					
Classification - Class 10						Common CIS Tools	(FCDL Clobal Mannar	
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Bare Earth Slope Creation	SO	8/29/2017	8/29/2017		T:\GpoDot\lida	Devid Lesses LAC Tes		
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Point Density - Bare Earth	SO	9/19/2017	9/19/2017		T:\GpoDot\lida			
Overlapping Swath review								
Point Source ID	SO	8/30/2017	8/31/2017	_	T:\GpoDot\lidar			
Void Creation	SO	8/30/2017	8/30/2017		T:\GpoDot\lida			
Void Analysis	Raj	9/11/2017	9/14/2017		T:\GpoDot\lidar\	\Southwest_2017\review\East_block\void	SW_EastBlock_Void_reviw.mxd	
Slope Analysis								
Spot check Profiles								
Spot check creating contours								
LiDAR Metadata								
Water Raster DEM (5m)	SO	8/29/2017	8/29/2017		T:\GpoDot\lidar\	Southwest_2017\review\East_block\water_slope	SW_EastBlock_Water_5m_082917.tif	
Water Slope Raster	SO	8/30/2017	8/30/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\water_slope SW_EastBlock_Water_5mSLOPE_083017.tif		SW_EastBlock_Water_5mSLOPE_083017.tif	
Water Slope Polygons	SO	8/30/2017	8/30/2017		T:\GpoDot\lidar\Southwest_2017\review\East_block\water_slopesw_eastblock_water_slopesw_		sw_eastblock_water_polysAGG.shp	
































Distribution of DEM





Distribution of DEM





DEM Web Service

Visualize - No need to download

Display

- Elevation
- Slope
- Hillshade
- Aspect

<u>Analyze</u>

- Run Geoprocessing Tools
- Generate Contours
- Run in Models

Other Benefits

- Saves Space
- Immediate updates
- Download Blocks of Data





LIDAR PANEL: PROJECT LIFECYCLES IN COUNTY GOVERNMENT

2017 NYS GeoCon Sheri Norton, GISP Ontario County





EXISTING LIDAR

Total County investment: \$141,000

- 2006 capture Pictometry hired (\$108,000 County cost)
- Included portion of Yates County in Canandaigua watershed

Typical Flying	Average Combined	NSSDA – Vertical Accuracy	Horizontal
Altitude	Spot Spacing	95% Confidence	Accuracy
5000'	4.1' (1.23 m)	1.2' (36.6 cm)	1/3000 th the flying height

2008 processing (\$33,000) by IAGT to provide:

- Shapefiles of point collections, 2-foot contours
- Bare Earth DEM and Terrain (5-meter)
- Hydrologic features
- Building footprints

MAJOR APPLICATIONS

- Updated Soil Survey ong project with USDA Natural Resource Conservation Service (NRCS), the Ontario County Soil & Water Conservation District(SWCD), and County Planning
- Emergency Radio Communications System planning and environmental review
- Watershed Analyses ongoing by Public Works (averaging 40-50 per year).
- Building Footprints base layer of features extracted



SOIL SURVEY UPDATE

- Ontario County went from having one of the oldest soil surveys (c. 1946) to one of the most current and accurate in New York State
- Partnership with Ontario County Soil and Water Conservation District and the County Planning Department: Investment of \$150,000
- Soil types no longer in the NRCS Soil Classification System were resampled in the field over several years (soil boring and analysis)
- LiDAR enabled soil scientists at NRCS to accurately map soil types according to slope categorical breakdowns



EMERGENCY RADIO COMMUNICATIONS SYSTEM

- Planning and environmental review
- Tower site location (topographic data important)
 - County close proximity to Canada
 - FFC rules governing use of 700 and 800 MHz radio spectrum
 - Limited to power output of radios, including at fixed sites on towers (Base Stations)
 - Limited signal strength leaving our jurisdiction
 - Assess viewshed of towers to gauge environmental impact





WATERSHED ANALYSES

- **Determine culvert size and placement Our goal is to see how much water ultimately flows** into a specific culvert
 - Evaluate whether a specific culvert or ditch is able to handle the associated water that • could potentially be flowing through it during a significant rain event
 - Determine what size and style culverts are needed in order to properly design culverts for bid purposes and to appropriate funds for culvert replacement projects
- 40-50 analyses per year for various size watersheds
- Process uses the 5-meter DEM (watershed creation), 2-foot contours and bare earth data (discharge



analysis)





Flow accumulation determined

CHANGE IN LAND USE 2006 - 2017



CHANGE IN LAND USE 2006 - 2017



2006

2017

High growth northwest section – residential and commercial development

UPDATED LIDAR

Deliverables Desired

- DEM
- Hydrology
- Contours
- Network of highly accurate control points
 - Increase accuracy of survey quality for projects
 - Serve the County Real Property's longstanding desire to require surveyors to tie their surveys back to these control points (Monroe County has done that for years). Benefits:
 - Increase accuracy of the surveys filed with the County Clerk
 - Easier to overlay the surveys in GIS for tax map editing
- Updated terrain reflecting current state of developed lands
 - Difficulties with accuracy in some areas due to extensive development
 - Does not include new drainage structures/systems that can drastically alter how the hydrology tools function



<u>State</u>

Elevation Webpage: http://gis.ny.gov/elevation/

DEM Services: https://elevation.its.ny.gov/arcgis/rest/services/

Ontario County

http://www.co.ontario.ny.us/456/Geographic-Information-Systems-GIS

Federal

USGS National Map: https://nationalmap.gov/index.html

USGS 3DEP info: https://nationalmap.gov/3DEP/index.html

USDA-NRCS Geospatial Gateway: https://datagateway.nrcs.usda.gov/

Federal Geoplatform: https://www.geoplatform.gov/